

AUG 28 2006

FACSIMILE COVER SHEET

Date: August 28, 2006
To: Examiner Nga B. Nguyen
Fax No: 571-273-8300

From: Kent E. Genin
Tel. No: 312-321-7732

Client No: 11927/89

No. of Pages
(inc. this page): 11

Confirmation Copy To Follow: Yes ☐ No ☒

IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,
PLEASE CALL 312-321-4200 AND ASK FOR: Brenda Skinner, ext. 3488

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

COVER MESSAGE:

RE: U.S. SERIAL NO. 09/699,533
PLEASE DELIVER TO EXAMINER NGA B. NGUYEN
GROUP ART UNIT NO. 3628

**BRINKS
HOFFER
GILSON
& LIONE**
A Professional Corporation
Intellectual Property
Law Worldwide

AUG 28 2006

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence, totaling 10 pages, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date:

Date: August 28, 2006 Name: Kent E. Genin

Signature: 

BRINKS
HOFFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Ross G. KAMINSKY, et al.

Appln. No.: 09/699,533

Examiner: Nga B. Nguyen

Filed: October 30, 2000

Art Unit: 3628

For: AUTOMATED TRADING EXCHANGE
SYSTEM AND METHOD UTILIZING
RANDOMIZED OPENING PROCEDURES

Attorney Docket No: 11927/89

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Amendment
☐ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	13	Minus	20	0	x \$25=			x \$50=	0
Indep.	2	Minus	3	0	x 100=			x \$200=	0
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$0

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17/associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,


Kent E. Genin (Reg. No. 37,834)

August 28, 2006
Date

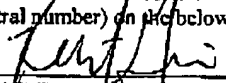
BRINKS HOFFER GILSON & LIONE

NSC Tower - Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

BRINKS
HOFFER

RECEIVED
CENTRAL FAX CENTER

AUG 28 2006

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8		
I hereby certify that this correspondence, totaling <u>10</u> pages, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date:		
Date: <u>August 28, 2006</u>	Name: <u>Kent E. Genin</u>	Signature: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Ross G. KAMINSKY, et al.

Appln. No.: 09/699,533

Filed: October 30, 2000

Examiner: Nga B. Nguyen

Art Unit: 3628

For: AUTOMATED TRADING
EXCHANGE SYSTEM AND
METHOD UTILIZING
RANDOMIZED OPENING
PROCEDURES

Attorney Docket No: 11927/89

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450AMENDMENT

Sir:

In response to the Office Action mailed on July 21, 2006, Applicants submit the following Remarks and respectfully request the Examiner to reconsider the rejections made in the Action and to allow the application to issue.

BRINKS
HOFFER
GILSON
& LIONE